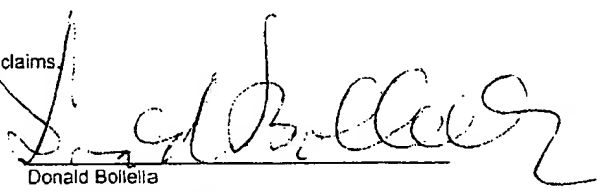


| | | | | | | | |
|---|--|-----------------------------|---|--|-------------|------------------------|--------------------------|
| AMENDMENT TRANSMITTAL SHEET | | | | Attorney Docket No. BT11 98100802(US)USX1 | | | |
| SERIAL NO. 09/311,329 | | FILING DATE May 11, 1999 | | EXAMINER Changhwa J. Cheu | | Group Art Unit 2753 | |
| TITLE: TRACKABLE OPTICAL DISC WITH CONCURRENTLY READABLE NONOPERATIONAL FEATURES | | | | | | | |
| TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS: Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below. | | | | | | | |
| FEE CALCULATION FOR CLAIMS AS AMENDED | | | | | | | |
| (1) | (2) Claims Remaining After Amendment | (3) | (4) Highest No. Previously Paid for | (5) No. of Extra Claims Present | (6) RATE | (7) FEE CODES | (8) ADDITIONAL FEE |
| Total Claims | • 71 | Minus | ** 161 = | 0 | X \$18 | 2202 | = 0 |
| Indep. Claims | • 1 | Minus | *** 5 = | 0 | X \$84 | 2201 | = 0 |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS (37 CFR 1.16(d)) | | | | | \$280 | 2203 | = 0 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$ 0 | | |
| <p>* If the entry in column 2 is less than the entry in column 4, write "0" in column 5. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space. *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.</p> <p>(X) No additional fee is required.</p> <p>() A check in amount of \$____.00 is attached.</p> <p>() Please charge any additional fees or credit overpayment to Deposit Account No. 50-1781. The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1781. A duplicate of this sheet is enclosed.</p> <p style="margin-left: 40px;">() Any patent application processing fees under 37 CFR 1.17.</p> <p style="margin-left: 40px;">() Any filing fees under 37 CFR 1.16 for presentation of extra claims.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 40%;"> <p>August 7, 2003 Date</p> <p>BURSTEIN TECHNOLOGIES, INC 163 Technology Dr., Suite 200 Irvine, CA 92618 (949) 453-1800</p> </div> <div style="width: 40%; text-align: center;">  Donald Boliella Registration No. 35,451 </div> </div> | | | | | | | |

PTO/SB/17 (01-03)

App. d for use through 04/30/03. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2003

Effective 01/01/03. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,970.00

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | 09/311,329 |
| Filing Date | May 11, 1999 |
| First Named Inventor | Mark Oscar Worthington |
| Examiner Name | CHEU, Changhwa J. |
| Art Unit | 2753 |
| Attorney Docket No. | BTI1 98100802(US)USX1 |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

 Deposit
Account
Number
Deposit
Account
Name

50-1781

Burstein Technologies, Inc.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 750 | 2001 375 | Utility filing fee | |
| 1002 330 | 2002 165 | Design filing fee | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20" = | X | |
| Multiple Dependent | -3" = | X | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 84 | 2201 42 | Independent claims in excess of 3 |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid |
| 1204 84 | 2204 42 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 410 | 2252 205 | Extension for reply within second month | |
| 1253 930 | 2253 465 | Extension for reply within third month | |
| 1254 1,450 | 2254 725 | Extension for reply within fourth month | |
| 1255 1,970 | 2255 985 | Extension for reply within fifth month | 1,970.00 |
| 1401 320 | 2401 160 | Notice of Appeal | |
| 1402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | Design issue fee | |
| 1503 630 | 2503 315 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.1 7(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 2808 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 750 | 2810 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 750 | 2801 375 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1,970.00

SUBMITTED BY

(Complete if applicable)

| | | | | | |
|-------------------|-----------------------|-----------------------------------|----------------|-----------|----------------|
| Name (Print/Type) | Donald Bollella, Esq. | Registration No. (Attorney/Agent) | 35,451 | Telephone | (949) 453-1800 |
| Signature | | Date | August 7, 2003 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

09/311,329

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Worthington, et al.

Filed: May 11, 1999

Serial No.: 09/311,329

For: TRACKABLE OPTICAL DISC
WITH CONCURRENTLY READABLE
NONOPERATIONAL FEATURES

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Art Unit: 2753

Examiner: CHEU, Changhwa J.

Attorney Docket No:
BT11 98100802(US)USX1

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ELECTION

Sir/Madam:

In response to the Restriction Requirement dated February 11, 2003, Applicant elects the claims in Group I which includes claims 1-34, 36-37, 49, and 85-90, drawn to a trackable optical disc, classified in class 422, subclass 119.

Applicant believes that no fee is required for filing this communication. The Commissioner is, however, hereby authorized to charge or credit any fees connected with this communication to Deposit Account No. 50-1781.

Respectfully submitted,

Date:

Aug 7, 2003

[Signature]
Donald Bollella
Reg. No. 35,451

Burstein Technologies, Inc.
LEGAL DEPARTMENT
163 Technology Drive
Irvine, CA 92618
(949) 453-1800

09/311,329

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Worthington, et al.

Filed: May 11, 1999

Serial No.: 09/311,329

For: TRACKABLE OPTICAL DISC
WITH CONCURRENTLY READABLE
NONOPERATIONAL FEATURES

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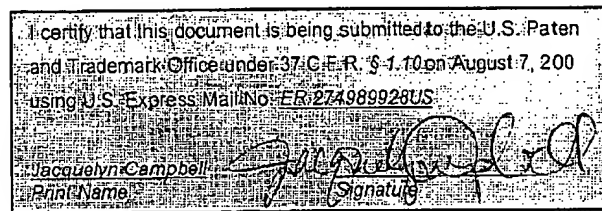
Art Unit: 2753

Examiner: CHEU, Changhwa J.

Attorney Docket No:
BT11 98100802(US)USX1

PRELIMINARY AMENDMENT

Mail Stop Non Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Sir:

Prior to examination of elected claims 1-34, 36-37, 49, and 85-90, Applicant wishes to amend the above-identified application as follows:

In the Specification:

Page 30, line 16, after "Nonoperational" please insert --or investigational--.

Page 30, line 16, after "include" please insert --samples for analysis, objects or structures subject to inspection, molecules, cells, bead complexes, and--.